

GREENBLUM & BERNSTEIN, P.L.C.
Intellectual Property Causes
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191



Attorney Docket No. P21760

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TECHNOLOGY CENTER 2800

In re application of : Manfred SCHROEDL

Serial No. : 09/926,796

Group Art Unit: 2837

Filed : December 20, 2001
(I.A. Filed June 21, 2000)

Examiner: Unknown

For : ELECTRIC MOTOR

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sir:

Transmitted herewith is a Preliminary Amendment in the above-captioned application.

☒ Small Entity Status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a previously filed statement.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☒ An Information Disclosure Statement, PTO Form 1449, and references cited.

☐ No additional fee is required.

The fee has been calculated as shown below:

| Claims After Amendment | No. Claims Previously Paid For | Present Extra | Small Entity | | Other Than A Small Entity | |
|-------------------------------------|--------------------------------------|------------------|--------------|----------|------------------------------|-----|
| | | | Rate | Fee | Rate | Fee |
| Total Claims: 54 | *28 | 26 | x 9= | \$234.00 | x 18= | \$ |
| Indep. Claims: 3 | **3 | 0 | x 42= | \$0.00 | x 84= | \$ |
| Multiple Dependent Claims Presented | | | +140= | \$0.00 | +280= | \$ |
| Extension Fees for Month | | | | \$0.00 | | \$ |
| Total: | | | | \$234.00 | Total: | \$ |

*If less than 20, write 20

**If less than 3, write 3

☐ Please charge my Deposit Account No. 19-0089 in the amount of \$_____.

☒ A Check in the amount of \$234.00 to cover the filing fee is included.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-0089.

☒ Any additional filing fees required under 37 C.F.R. 1.16.

☒ Any patent application processing fees under 37 C.F.R. 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136)(a)(3).

Neil F. Greenblum
Reg. No. 45,294
Neil F. Greenblum
Reg. No. 29,027

P21760.A05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Manfred SCHROEDER

Serial No. : 09/926,796

Filed : December 20, 2001
(I.A. Filed June 21, 2000)

For : ELECTRIC MOTOR



Group Art Unit: 2837

Examiner: Unknown

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, DC 20231

Sir:

Pursuant to the duty of disclosure set forth in 37 CFR 1.56 and in accordance with the provisions of 37 CFR 1.97 and 1.98, the following information is brought to the attention of the Examiner.

Applicant submits herewith a copy of the PCT/IPEA/408 (and an English translation thereof) conducted in International Application No. PCT/AT00/00167 which is a counterpart of the above-noted US application. This Office action discusses EP 0 769 403 which was submitted to the Examiner in the IDS filed March 20, 2002.

Applicant also submits herewith a copy of a paper from the Austrian Patent Office (and an English translation thereof) conducted in Austrian Application No. A 211599 which is a counterpart of the above-noted US application. This paper discusses the following documents:

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| Form PTO-1449 | | U.S. Department of Commerce Patent and Trademark Office | | Atty. Docket No. P21760 | | Serial No. 09/926,796 | | | | | | | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | Applicant Manfred SCHROEDL | | | | | | | | | | | |
| | | | | Filing Date December 20, 2001 | | Group 2837 | | | | | | | | | |
| | | | | | | | | | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | | | DATE | | NAME | | CLASS | | SUBCLASS | | FILING DATE IF APPROPRIATE | | |
| | | 4 1 1 7 3 9 0 | | | 09'26'78 | | IWATA et al. | | | | | | | | |
| | | 5 4 1 2 2 6 8 | | | 05/02/95 | | ARNAUD et al. | | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | |
| | | DOCUMENT NUMBER | | | DATE | | COUNTRY | | CLASS | | SUBCLASS | | TRANSLATION YES NO | | |
| D | | 5 7 1 1 6 5 7 8 | | | 07/20/82 | | JAPAN | | | | | | | | |
| Z | | 9 - 7 4 7 0 1 | | | 03/18/97 | | JAPAN | | | | | | | | |
| B | | 2 8 1 4 8 8 4 | | | 10/11/79 | | GERMANY | | | | | | | | |
| H | | 9 5 / 3 4 1 1 7 | | | 12/14/95 | | W.I.P.O. | | | | | | | | |
| S | | 0 3 2 0 4 1 5 | | | 06/14/89 | | E.P.O. | | | | | | | | |
| G | | 0 7 9 1 4 9 5 | | | 08/27/97 | | E.P.O. | | | | | | | | |
| | | | | | | | | | | | | | | | |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | | | | | | | | | |
| | | 1 | | English Language Abstract of JP 57-116578. | | | | | | | | | | | |
| | | 2 | | English Language Abstract of JP 9-74701. | | | | | | | | | | | |
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| EXAMINER | | | | | | | | | | | | | | DATE CONSIDERED | |
| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | | | | | | | | | |